

WHARTON CLUB OF NEW YORK
RETAIL INVESTORS GROUP

The Wharton Club of New York (WCNY) invites Wharton alumni who are retail investors (or those Wharton alumni eager to invest on their own) to join a group discussion to share experiences, successes, frustrations, and general thoughts with similarly interested WCNY members. This Retail Investors Group is not a group for professional investors who manage/invest funds for clients. Rather the group is designed for the non-professional individual investor alumni who uses their own funds to invest in Securities, Mutual Funds or ETFs and would like to meet and talk with other WCNY alumni who do the same.

Once completed, please submit your form to: WRIG@whartonny.com

The information below is REQUIRED prior to participating in the WCNY Retail Investors Group.

Name: _____

Wharton Class Year: _____

Email & Phone Number: _____

Occupation: _____

Please describe your specific interest in and expectations from the WCNY Retail Investors Group:

Please describe your experience with retail investing and trading:

DISCLAIMER:

I hereby acknowledge and agree that any information presented in any forum (whether live, virtual, or by any other means) provided by the Wharton Club of New York (WCNY), or organized by WCNY members, is for educational purposes and is not to be deemed an investment recommendation by WCNY. Nor is such information to be considered representative of professional expertise. Rather, it is to be used as an exchange of information and knowledge on the WCNY forum to open and facilitate discussions around retail investments and personal trading. All suggested practices, examples, or analyses presented in such forums are for illustration purposes only, are the personal opinions of the individuals presenting, and are not of the WCNY itself. They should therefore not be considered specific investment advice.

The risk of loss in trading securities, options, futures, and forex can be substantial. Members of the group must consider all relevant risk factors including their own risk appetite and personal financial situation before adopting any suggested strategies or ideas shared via a WCNY retail investors group forum. The WCNY is not responsible for any investments or trades made with their brokers nor for any losses that may be incurred. Members are solely responsible for any trades placed or positions taken in their own personal accounts and any loss of funds incurred are and shall be deemed their own, sole and exclusive, responsibility. WCNY ASSUMES NO LIABILITY FOR ANY TRADING LOSSES NOR FOR ANY INVESTMENT RESULTS. YOU UNDERSTAND AND ACKNOWLEDGE THAT THERE IS A VERY HIGH DEGREE OF RISK INVOLVED IN TRADING SECURITIES. ANY INFORMATION RECEIVED FROM THE WCNY OR ITS MEMBERS SHOULD ONLY SERVE AS A STARTING POINT FOR YOUR ADDITIONAL INDEPENDENT RESEARCH. You should always check with your licensed financial advisor and tax advisor to determine the suitability of any investment.

I have read, understood, and accepted the above as a condition for my participation in the WCNY Retail Investors Group.

Signature: _____ Date: _____